## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Per-Anders Kristian LOF et al

SERIAL NO:

09/838,178

GAU:

2123

SIR:

April 20, 2001

**EXAMINER:** 

Unassigned

SEP 1 8 2001

YSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR ENHANCING COMMERCIAL VALUE OF ELECTRICAL POWER PRODUCED FROM A RENEWABLE ENERGY POWER PRODUCTION FACILITY

松TION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS

RECEIVED

WASHINGTON, D.C. 20231

SFP 2 0 2001

Applicant(s) wish to disclose the following information.

**Technology Center 2100** 

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

### **RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

# **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No.

40,073

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/98)

| Form PTO 1449<br>(Modified) |   | U.S. DEPARTMENT O<br>PATENT AND TRADE   |   | ATTY DOCKET NO.<br>203194US-8DIV |                        |          | SERIAL NO.<br>09/838,178 |                               |  |
|-----------------------------|---|---|---|----------------------------------|------------------------|----------|--------------------------|-------------------------------|--|
| LIST OF                     | PE RENCES CITED BY APP  | LICANT  | APPLICANT Per-Anders Kristian LOF et al |                                  |                        |          |                          |                               |  |
|                             | SEP 1 8 2007 💆  |   |   | FILING DATE<br>April 20, 2001    |                        |          | GROUP 2123               |                               |  |
| 3                           | /A.   | SATE STATE OF THE SATE OF THE |   | U.S. PATENT DO                   | CUMENTS                |          | <u> </u>                 |                               |  |
| EXAMINER<br>INITIAL         | िंड   | RAD BOCUMENT<br>NUMBER  | DATE                                    |                                  | NAME                   | CLASS    | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE |  |
| •                           | AA  |   |   |                                  |                        |          |                          |                               |  |
|                             | AB  |   |   |                                  |                        |          |                          |                               |  |
| j                           | AC  |   |   |                                  |                        |          |                          | <u>E</u> ~ <del>1</del>       |  |
|                             | AD  |   | ļ                                       |                                  |                        |          |                          | 8 W W                         |  |
|                             | AE  |   |   |                                  |                        | ·        |                          | G C                           |  |
|                             | AF  |   |   |                                  |                        | -        | <u> </u>                 |                               |  |
|                             | AG  |   |   |                                  | ····                   | <u> </u> |                          | 7EC                           |  |
|                             | AH  |   |   |                                  |                        | -        |                          |                               |  |
|                             | AI  |   |   |                                  |                        | <u> </u> | <u> </u>                 | 8                             |  |
|                             | AJ  |   |   |                                  |                        |          |                          |                               |  |
|                             | AK  |   |   | <del></del>                      |                        |          | ļ                        |                               |  |
|                             | AL  |   | ļ                                       |                                  |                        | _        |                          |                               |  |
|                             | AM  |   |   |                                  | . Title Bate Beatle    |          | 4-1                      |                               |  |
|                             | OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |   |   |                                  |                        |          |                          |                               |  |
|                             | AN  | Implementing Short-term Prediction at Utilities, L. Landberg et al, Final Report to the European Commission, January 1996 to December 1998, pages 1-16  Implementation of Short-Term Prediction, L. Landberg et al, 1999 European Wind Energy Conference, March 1-5, 1999, pages 57-62  Short-Term Winding Forecast, Development and Application of a Mesoscale Model, Bruce Bailey et al, 1999 European Wind Energy Conference, March 1-5, 1999, pages 1062-1065  Investigation of the Effects of Wind Speed Forecasts and Economic Evaluation of the Increased Penetration of Wind Energy for the Island of Crete, E. Akylas et al, 1999 European Wind Energy Conference, March 1-5, 1999, pages 1074-1077  Advanced Short-Term Forecasting of Wind Power Production, G. Kariniotakis et al, European Wind Energy Conference, October 1997, pages 751-754   |   |                                  |                        |          |                          |                               |  |
|                             | Α0  |   |   |                                  |                        |          |                          |                               |  |
|                             | AP  |   |   |                                  |                        |          |                          |                               |  |
|                             | AQ  |   |   |                                  |                        |          |                          |                               |  |
|                             | AR  |   |   |                                  |                        |          |                          |                               |  |
|                             | AS  | Statistical Methods for Predicting Wind Power, Torben Skov Nielsen et al, European Wind Energy Conference, October, 1997, pages 755 - 758   |   |                                  |                        |          |                          |                               |  |
|                             | АТ  | Experiences with Statistical Methods for Wind Power Prediction, T. S. Nielsen, et al, 1999 European Wind Energy Conference, March 1-5, 1999, pages 1066-1069  |   |                                  |                        |          |                          |                               |  |
|                             | AU  | Short Term Prediction of Wind Speed and Power Output of a Wind Turbine with Neural Networks, H. G. Beyer et al, 1994 European Wind Energy Association Conference and Exhibition, October 10-14, 1994, pages 349-352   |   |                                  |                        |          |                          |                               |  |
|                             | AZ  | Can Wind Power Be Sold in a Deregulated Electricity Market?, Bent Sorensen et al, 1999 European Wind Energy Conference, March 1-5, 1999, Pages 375-378  Growth Potentials of Wind Power in a Liberalized Energy Market, Dr. Ferdinand Lutz et al, 1999 European Wind Energy Conference, March 1-5, 1999, Pages 508-511  |   |                                  |                        |          |                          |                               |  |
|                             | AW  |   |   |                                  |                        |          |                          |                               |  |
| Examiner                    |   |   |   |                                  |                        | Date Cor | nsidered                 |                               |  |
|                             |   | eference is considered, w   |   |                                  |                        |          | ine through              | n citation if not in          |  |
| conformance                 | and no  | ot considered. Include cor  | y of this forn                          | n with next comm                 | unication to applicant | •        |                          |                               |  |